

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

SIDNEY GORDON and JEFFREY TAUBER,  
Plaintiffs,

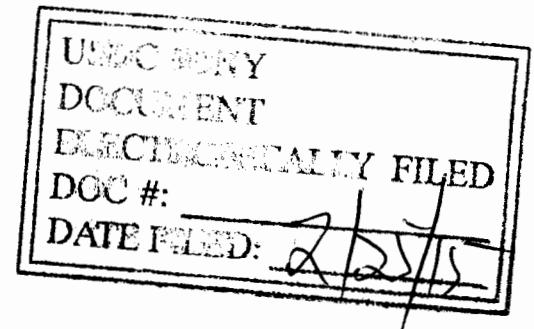
v.

SONAR CAPITAL MANAGEMENT LLC; NEIL DRUKER; PRIMARY GLOBAL RESEARCH, LLC; SONAR PARTNERS, LP; SONAR INSTITUTIONAL FUND, LP; SONAR OVERSEAS FUND, LTD.; AURORA HEDGED EQUITY LP; AURORA HEDGED EQUITY OFFSHORE FUND, LTD.; AURORA LIMITED PARTNERSHIP; AURORA OFFSHORE FUND LTD. II.; AZIMUTH DIVERSIFIED FUND, LLC; AZIMUTH SELECT FUND, LLC; BARFIELD NOMINEES LIMITED A/C 18818; CHICAGO PATRIOT, LLC; CONTINENTAL CASUALTY COMPANY; DAVID E. SEMMEL; DELOS FUND, LTD; DELOS FUND II, LTD.; DOREL, LLC; FQR ALTERNATIVES II, LP; JOANNE MACKINNON; JOCELYN BOWIE; IFC (A) TRUST; IFC (E) TRUST; LESLIE SEMMEL; LOEWS CORPORATION; MWV EMPLOYEE RETIREMENT PLAN GROUP TRUST; THE ORRINGTON FUND, LTD.; THE ORRINGTON FUND, LP; THE ORRINGTON PLUS MASTER FUND, LTD.; PANGAEA PARTNERS, LP; PAROS FUND TRUST; Q-BLK ALPHA ENGINE, LTD.; Q-BLK EQUITY EDGE PORTFOLIO, LP; Q-BLK EQUITY EDGE PORTFOLIO, LTD.; QIP LTD.; QPA LTD.; ROBECO-SAGE CAPITAL INTERNATIONAL II, LTD.; ROBECO-SAGE CAPITAL, LP; ARDEN SAGE MULTI-STRATEGY FUND, LLC; ARDEN SAGE TRITON FUND, LLC; ROBECO-SAGE UNIT TRUST; SEMMEL FAMILY TRUST; STEINBERG FAMILY INVESTMENTS, LTD.; THE WEATHERLOW FUND, LP,

Defendants.

Case No. 11-CV-9665 (JSR)

JURY TRIAL DEMANDED



**[PROPOSED] ORDER REGARDING DEATH CERTIFICATES OF  
SINH D. NGUYEN AND DIU THI THONG**

Certificates of Death of Diu Thi Dong and Sinh D. Nguyen, informational (non-certified) copies of which are attached as Attachments A and B to this order.

Dated: February 29, 2015

SO ORDERED:

Jed S. Rakoff dated 2/24/15  
Jed S. Rakoff  
United States District Judge

# Attachment A

**CERTIFICATION OF VITAL RECORD**

OFFICE OF CLERK-RECORDER

# COUNTY OF ALAMEDA

OAKLAND, CALIFORNIA

INFORMATIONAL - NOT A VALID  
DOCUMENT TO ESTABLISH IDENTITY

**CERTIFICATE OF DEATH**

**STATE OF CALIFORNIA**

STATE FILE NUMBER		STATE OF CALIFORNIA				LOCAL ADMINISTRATION DISTRICT AND CERTIFICATE NUMBER			
1A. NAME OF DECEDENT—FIRST		1B. MIDDLE		1C. LAST		2A. DATE OF DEATH (MONTH, DAY, YEAR)		2B. HOUR	
Diu		Thi		Dong		June 26, 1987		1340	
3. SEX		4. RACE/ETHNICITY		5. SPANISH/HISPANIC		6. DATE OF BIRTH		7. AGE	
Female		Oriental		NO		June 7, 1928		59 YEARS	
8. BIRTHPLACE OF DECEDENT (STATE OR FOREIGN COUNTRY)		9. NAME AND BIRTHPLACE OF FATHER				10. BIRTH NAME AND BIRTHPLACE OF MOTHER		11. DATE OF DEATH (MONTH, DAY, YEAR)	
Vietnam		Rao Q. Dong—Vietnam				Ty Thi Duong—Vietnam		JUN 29 1987	
11A. COUNTRY OF MILITARY SERVICE		11B. IF DECEDENT WAS EVER IN MILITARY GIVE DATES OF SERVICE		12. SOCIAL SECURITY NUMBER		13. MARITAL STATUS		14. NAME OF SURVIVING SPOUSE OF WIFE, ENTER MOTHER NAME	
Vietnam		19 TO 19		[REDACTED]		Married		Sinh Nguyen	
15. PRIMARY OCCUPATION		16. NUMBER OF YEARS THIS OCCUPATION		17. EMPLOYER IF SELF-EMPLOYED, DO STATE		18. KIND OF INDUSTRY OR BUSINESS		19. CITY OR TOWN	
Homemaker		—		—		—		Fremont	
20A. USUAL RESIDENCE—STREET ADDRESS (STREET AND NUMBER OR LOCATIONS)		20B. ZIP CODE		20C. CITY OR TOWN		20D. NAME AND ADDRESS OF INFORMANT—RELATIONSHIP		20E. DATE OF DEATH (MONTH, DAY, YEAR)	
45997 Omega Dr.		94539		Fremont		Hao Nguyen (Son)		JUN 29 1987	
190. COUNTY		191. STATE		210. COUNTY		211. STATE		212. CITY OR TOWN	
Alameda		California		Alameda		California		Fremont	
21A. PLACE OF DEATH		21B. ZIP CODE		21C. CITY OR TOWN		21D. STATE		21E. ZIP CODE	
Residence		94539		Fremont		California		Fremont	
22. DEATH WAS CAUSED BY: IMMEDIATE CAUSE		ENTER ONLY ONE CAUSE PER LINE FOR A, B, AND C)				22. APPROXIMATE INTERVAL BETWEEN ONSET AND DEATH		24. WAS DEATH REPORTED TO CORONER?	
CONDITIONS, IF ANY, WHICH GAVE RISE TO THE IMMEDIATE CAUSE STATING THE UNDER- LYING CAUSE LAST.		(A) Pneumonia DUE TO, OR AS A CONSEQUENCE OF				2 days		No	
		(B) Metabolic Comorbidity of Lung DUE TO, OR AS A CONSEQUENCE OF				9 months		Yes	
		(C)							
23. OTHER SIGNIFICANT CONDITIONS—CONTRIBUTING TO DEATH BUT NOT RELATED TO CAUSE GIVEN IN 22A		23. WAS OPERATION PERFORMED FOR ANY CONDITION IN ITEMS 22 OR 23? TYPE OF OPERATION				23. APPROXIMATE INTERVAL BETWEEN ONSET AND DEATH		25. WAS AUTOPSY PERFORMED?	
		Bloody only						No	
26A. I CERTIFY THAT DEATH OCCURRED AT THE HOUR, DATE AND PLACE STATED FROM THE CAUSES STATED.		26B. PHYSICIAN—SIGNATURE AND DEGREE OR TITLE		26C. DATE SIGNED		26D. PHYSICIAN'S LICENSE NUMBER			
I ATTENDED DECEDENT SINCE I LAST SAW DECEDENT ALIVE (ENTER NO. DA YR) [REDACTED] (ENTER NO. DA YR) [REDACTED]		[REDACTED] M.D. JUN 29, 1987		[REDACTED]		A 043163			
Almeda Ca (786) JUN 23 1987		WOOD ROW & WELLS 38719 5th ave Jt Fremont 94539							
29. SPECIFY ACCIDENT, SUICIDE, ETC.		30. PLACE OF INJURY		31. INJURY AT WORK		32A. DATE OF INJURY—MONTH, DAY, YEAR		32B. HOUR	
33. LOCATION—STREET AND NUMBER OR LOCATION AND CITY OR TOWN		34. DESCRIBE HOW INJURY OCCURRED (EVENTS WHICH RESULTED IN INJURY)							
35A. I CERTIFY THAT DEATH OCCURRED AT THE HOUR, DATE AND PLACE STATED FROM THE CAUSES STATED, AS REQUIRED BY LAW I HAVE HELD AN INQUEST/INVESTIGATION		35B. CORONER—SIGNATURE AND DEGREE OR TITLE		35C. DATE SIGNED					
36. DISPOSITION		37. DATE—MONTH, DAY, YEAR		38. NAME AND ADDRESS OF CEMETERY OR CINERARY		39. EMBALMER'S LICENSE NUMBER AND SIGNATURE			
Interment		June 29, 1987		Los Gatos Mem. Pk. Almaden Rd. San Jose, Ca		[REDACTED]			
40A. NAME OF FUNERAL DIRECTOR (OR PERSON ACTING AS SUCH)		40B. LICENSE NO.		41. LOCAL REGISTRAR—SIGNATURE		42. DATE ACCEPTED BY LOCAL REGISTRAR			
Rancadore & Alameda, Inc.		643		[REDACTED]		[REDACTED]		JUN 29 1987	
STATE REGISTRAR		A		B		C		D	

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DOCUMENT TO ESTABLISH IDENTITY

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**CERTIFIED COPY OF VITAL RECORD  
STATE OF CALIFORNIA, COUNTY OF ALAMEDA**

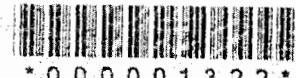
This is a true and exact reproduction of the document officially registered and placed on file in the office of the Alameda County Clerk-Recorder.

**DATE ISSUED**

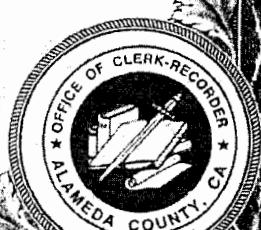
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Steve Manning  
STEVE MANNING  
ALAMEDA COUNTY CLERK-RECORDER  
Editor, of the Clark Recorder



**ANY ALTERATION OR ERASURE VOIDS THIS CERTIFICATE**

# Attachment B

**CERTIFICATION OF VITAL RECORD**

**OFFICE OF CLERK-RECORDER**

## COUNTY OF ALAMEDA

## OAKLAND, CALIFORNIA

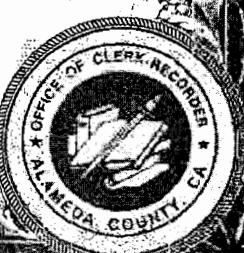
3031999 | 74157

**CERTIFICATE OF DEATH**

3199901007158

STATE FILE NUMBER

1. NAME - DAY/NIGHT HOURS/COVENIENT		2. MIDDLE		3. DATE OF DEATH MM DD YYYY	
SINH		D.		10/21/1999	
4. DATE OF BIRTH MM DD YYYY		5. AGE IN YEARS		6. DEATH TIME HOURS MINUTES AM PM	
12/23/1923		75		10:00 AM 00:00:00 AM	
7. STATE OF BIRTH		8. MIGRATION RECORDS		9. DATE OF DEATH MM DD YYYY	
VN		X NO		10/21/1999	
10. RACE		11. HISPANIC - NEGRO		12. MARRITAL STATUS	
VIETNAMESE		X NO		WIDOWED	
13. OCCUPATION		14. KIND OF BUSINESS		15. EDUCATION	
TEACHER		EDUCATION		30	
16. NO PREVIOUS STREET AND NUMBER IN U.S. ADDRESS					
39238 MARBELLA TERRACE					
17. USUAL RESIDENCE CITY		18. COUNTIES		19. ZIP CODE	
FREMONT		ALAMEDA		94538	
20. NAME RELATIONSHIP		21. NAME OF SURVIVING WIFE - FIRST		22. MAILING ADDRESS, CITY AND NUMBER OF HOME, WORK, OR OTHER ADDRESS	
TAI NGUYEN - SON		23. MIDDLE		564 COLUMBIA CREEK DR., SAN RAMON, CA 94583	
24. NAME OF SURVIVING WIFE - FIRST		25. MIDDLE		26. CITY, STATE, OR FOREIGN COUNTRY	
TAN		D.		CA	
27. NAME OF MOTHER - FIRST		28. MIDDLE		29. LAST	
TEN		T.		NGUYEN	
30. DATE MM DD YYYY		31. PLACE OF FINAL DISPOSITION		32. LAST MAIDEN NAME	
10/25/1999		LOS GATOS MEMORIAL PARK, SAN JOSE, CA 95124		33. BIRTHPLACE	
34. TYPE OF DISPOSITION		35. DATE MM DD YYYY		36. BIRTH PLACE	
BU		10/25/1999		37. DATE MM DD YYYY	
38. NAME OF FUNERAL DIRECTOR		39. MIDDLE		38. BIRTH PLACE	
LIMA FAMILY ERIKSSON		ED-128		39. BIRTH PLACE	
40. PLACE OF BURIAL		41. DATE OF BURIAL		40. BIRTH PLACE	
WASHINGTON HOSPITAL		10/25/1999		41. BIRTH PLACE	
42. BURIAL CEREMONY		43. DATE OF BURIAL		42. BIRTH PLACE	
2000 MOWRY AVENUE		10/25/1999		43. BIRTH PLACE	
44. PLACE OF DEATH		45. DATE OF DEATH		44. BIRTH PLACE	
WASHINGTON HOSPITAL		10/25/1999		45. BIRTH PLACE	
46. DATE OF DEATH		47. PLACE OF DEATH		46. BIRTH PLACE	
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114. I CERTIFY THAT TO THE BEST OF MY KNOWLEDGE DEATH OCCURRED AT THE HIGH DATE AND PLACE STATED FROM THE INFORMATION STATED ON THIS FORM		115. DATE OF DEATH		116. LICENSE NO.	
117. I CERTIFY THAT IN MY OPINION DEATH OCCURRED AT THE HIGH DATE AND PLACE STATED IN THE FORM STATED		118. DATE OF DEATH		67891 10/22/99	
119. MANNER OF DEATH		120. DATE OF DEATH		WILLIAM KALICHMAN, MD	
NATURAL		121. DATE OF DEATH		122. DATE OF DEATH	
SUICIDE		123. DATE OF DEATH		124. DATE OF DEATH	
PENDING INVESTIGATION		125. DATE OF DEATH		126. DATE OF DEATH	
127. LOCATION OF DEATH		128. DATE OF DEATH		129. DATE OF DEATH	
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**CERTIFIED COPY OF VITAL RECORD  
STATE OF CALIFORNIA, COUNTY OF ALAMEDA**

This is a true and exact reproduction of the document officially registered and placed on file in the office of the Alameda County Clerk-Recorder

**DATE ISSUED**

JAN 26 2015

THIS COPY IS  
PBNCO [REV. 10/14]

\*000004970\*

Steve Mannion

**STEVE MANNING**  
MEDIA/CD/CD-R/CD-RW RECORDER

This copy is not valid unless prepared on an engraved border displaying the date, seal, and signature of the Clerk-Recorder.  
PBA-CO (REV. 10/14)

A HISTORY OF THE CHINESE

**ANY ALTERATION OR ERASURE VOIDS THIS CERTIFICATE**